

HDP/SB/21 based on PTO/SB/21 (08-00)

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TRANSMITTAL FORM		Filing	Date	February 28, 2002	SEP 0 9
		First I	Named Inventor	David A. Simon, et al.	CHNOLOGY CEN
be seed for all correspondent	ce after initial filing)	Group	Art Unit	3737	OH WOSOCH (OS)
<b>. </b>		Exami	ner Name	To Be Assigned	·
Number of Pages in This Su	bmission	Attorn	ey Docket Number	5074A-000057	
	ENC	LOSURES	(check all that apply)		
Fee Transmittal Form		gnment Pap an Application		After Allowance Com Group	munication to
Fee Attached	☐ Drav	ving(s)		Appeal Communication Appeals and Interference	
Amendment / Response	Lice	nsing-relate	d Papers	Appeal Communication (Appeal Notice, Brief, R.	
After Final	Petit	ion		Proprietary Information	on
Affidavits/declaration(s)		ion to Conv risional App		Status Letter	
Extension of Time Request			ey, Revocation espondence Address	Other Enclosure(s) (please identify below):	
·		minal Disclaimer		Form 1449 with	
Express Abandonment Request		Request for Refund		(153 U.S., 14 For Return Postcard	•
Information Disclosure Stater	ment CD,	Number of	CD(s)		
Certified Copy of Priority Document(s)  Remai		narks	additional fees that	is hereby authorized to ch may be required under 37 No. 08-0750. A duplicate of	CFR 1.16 or 1.17
Response to Missing Parts/ Incomplete Application		d <sub>e</sub>	1		
Response to Missing Parts under 37 CFR 1.52 or 1.53	, 2,				
	SIGNATURE OF	F APPLIC	ANT, ATTORNEY, O	R AGENT	
Firm or Harness, Dickey & Pierce, P.L.C			orney Name chard W. Warner	Reg. No. 38,043	
Signature This w. w. Date August 22, 20					
Date Au	mt 22, 2	١٥٠٤			
	CERTIFIC	ATE OF N	AILING/TRANSMIS	SION	
		agaitad with	the United States Post	tal Service as first class mail	in an envelope
hereby certify that this correspo addressed to: Commissioner of I	Patents and Trader	narks, Was	nington, D.C. 20231, or	facsimile transmitted to the	U.S. Patent and
hereby certify that this correspo addressed to: Commissioner of I Trademark Office on the date ind	Patents and Trader	narks, Was	nington, D.C. 20231, or	facsimile transmitted to the	U.S. Patent and



BET 9.10-02 #31IDS PATENT

#### THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Application No.:** 

10/087,288

RECEIVED

Filing Date:

February 28, 2002

SEP 0 9 2002

Applicant:

David A. Simon, et al.

TECHNOLOGY CENTER R3700

Group Art Unit:

3737

Examiner:

To Be Assigned

Title:

METHOD AND APPARATUS FOR PERSPECTIVE

**INVERSION** 

Attorney Docket:

5074A-000057

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

#### INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

### I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information submitted for consideration by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. COPIES

A. X Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cited pending U.S. application, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. \_\_\_\_ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

U.S. Filing Date

C.\_\_\_This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. Since the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g)) CONCISE EXPLANATION OF THE RELEVANCE (check at least one box) A. X Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required). B. X A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)): 1. See the attached foreign patent office communication from a counterpart foreign application: English translations are provided for: 3. X Other: German Patent DE 3042343A1 appears to disclose a system for locating an object in space. An English Abstract is provided for Other Documents reference designation 10, 34, 40. Other Documents reference designation 46, Sautot's Vissage Pediculaire Assiste Par Ordinateur discloses a fluoroscopic image guidance system. C. \_\_\_ The following additional information is provided for the Examiner's consideration. CROSS REFERENCE TO RELATED APPLICATION(S) A. The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122. Serial No. Filing Date Art Unit THIS IDS IS BEING FILED UNDER

Serial No. 10/087,288

A. X 37 C.F.R. § 1.97(b): (check only one box)

III.

IV.

V.

	1 within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.
	2 within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.
	3. X before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
	4 before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.
В	37 C.F.R. § 1.97(c): (check <u>only</u> one box)
	<ul> <li>before the mailing date of either any Final Office Action under 37 C.F.R.</li> <li>§ 1.113, a Notice of Allowance under 37 C.F.R.</li> <li>§ 1.311, or an action that otherwise closes prosecution.</li> </ul>
	1 No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
	2 See the certification below. No fee is required.
C	37 C.F.R. § 1.97(d):
	<ul> <li>after the mailing date of either a Final Office Action under 37 C.F.R. §</li> <li>1.113 or a Notice of Allowance under 37 C.F.R. §</li> <li>1.311, yet on or before payment of the issue fee.</li> </ul>
	1 See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
<u>CER</u>	TIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
The	undersigned hereby certifies that:
C	each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign pplication not more than three months prior to the filing of this IDS (See 37

VI.

## C.F.R. § 1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or

- B. \_\_\_ no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
- C. \_\_\_Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

#### VII. STATEMENT UNDER 37 CFR 1.704(d)

The undersigned hereby states that:

each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. 1.56(c) more than thirty days prior to the filing of this IDS.

### VIII. PAYMENT OF FEES (check only one box)

- A. \_\_\_\_ A check in the amount of \$180.00 is enclosed for the above-identified fee.
- B. \_\_\_\_ Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: Agut 27, 2002

Richard W. Warner

Reg. No. 38,043 Michael L. Taylor Reg. No. 50,521

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

### FORM HDP-1449 (Based on Form PTO-1449)

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Sheet 1 of 14

ATTORNEY DOCKET No.	SERIAL NO.
5074A-000057	10/087,288
APPLICANT	
David A. Simon	P. Eller
FILING DATE	GROUP SEP U 9 2002
February 28, 2002	3737 CHOON CENTER B37

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		Re. 35,025	08/1995	Anderton		
2.		1,576,781	03/1926	Phillips		
3.		1,735,726	11/1929	Bornhardt		
4.		2,407,845	09/1946	Nemeyer		
5.		2,650,588	09/1953	Drew		
6.		2,697,433	12/1954	Sehnder		
7.		3,016,899	01/1962	Stenvall		
8.		3,017,887	01/1962	Heyer		
9.		3,061,936	11/1962	Dobbeleer		
10.		3,073,310	01/1963	Mocarski		
11.		3,294,083	12/1966	Alderson	,	
12.		3,367,326	02/1968	Frazier		
13.		3,577,160	05/1971	White		
14.		3,702,935	11/1972	Carey et al.		
15.		3,704,707	12/1972	Halloran		
16.		3,941,127	03/1976	Froning		
17.		4,037,592	07/1977	Kronner		
18.		4,052,620	10/1977	Brunnett		
19.		4,117,337	09/26/1978	Staats		
20.		4,202,349	05/1980	Jones		
21.		4,358,856	11/09/1982	Stivender et al.		

Examiner:	Date Considered:	

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# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

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Sheet 2 of 14

ATTORNEY DOCKET NO.	SERIAL NO.
5074A-000057	10/087,288
APPLICANT	
David A. Simon	VLD
FILING DATE	GROUP UY ZUUZ
February 28, 2002	3737 CENTER B3700

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
22.		4,368,536	01/1983	Pfeiler		
23.		4,403,321	09/1983	DiMarco		
24.		4,418,422	11/1983	Richter et al.		
25.		4,485,815	12/1984	Amplatz		
26.		4,543,959	10/01/1985	Sepponen		
27.		4,621,628	11/1986	Bludermann		
28.		4,625,718	12/1986	Olerud et al.		
29.		4,651,732	03/24/1987	Frederick		
30.		4,653,509	03/1987	Oloff et al.		
31.		4,706,665	11/17/1987	Gouda		
32.		4,722,056	01/26/1988	Roberts et al.		
33.		4,722,336	02/1988	Kim et al.		
34.		4,727,565	02/23/1988	Ericson		
35.		4,737,921	04/12/1988	Goldwasser et al.		
36.		4,750,487	06/1988	Zanetti		
37.		4,771,787	09/20/1988	Wurster et al.		
38.		4,791,934	12/20/1988	Brunnett		
39.		4,797,907	01/1989	Anderton		
40.		4,803,976	02/1989	Frigg et al.		
41.		4,821,206	04/11/1989	Arora		

Examiner:	Date Considered:

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## PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

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Sheet 3 of 14

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U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
42.		4,845,771	07/1989	Wislocki et al.		
43.		4,991,579	02/12/1991	Allen		
44.		5,013,317	05/1991	Cole et al.		,
45.		5,027,818	07/02/1991	Bova et al.		
46.		5,030,222	07/1991	Calandruccio et al.		
47.		5,031,203	07/1991	Trecha		
48.		5,059,789	10/22/1991	Salcudean		
49.		5,079,699	01/07/1992	Tuy et al.		
50.		5,094,241	03/10/1992	Allen		
51.		5,097,839	03/24/1992	Allen		
52.		5,107,843	04/1992	Aarnio, et al.		
53.		5,119,817	06/09/1992	Allen		
54.		5,142,930	09/01/1992	Allen et al.		
55.		5,178,164	01/12/1993	Allen		
56.		5,178,621	01/1993	Cook et al.		
57.		5,186,174	02/1993	Schlondorff et al.		
58.		5,198,877	03/30/1993	Schulz		
59.		5,211,164	05/18/1993	Allen		
60.		5,212,720	05/1993	Landi et al.		
61.		5,219,351	06/1993	Teubner et al.		

Examiner:	Date Considered:	

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## PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

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Sheet 4 of 14

ATTORNEY DOCKET NO.	SERIAL NO.
5074A-000057	10/087,288
APPLICANT	
David A. Simon	
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February 28, 2002	3737 SEP 0 9 2002

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U.S. F	U.S. PATENT DOCUMENTS					
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
62.		5,233,990	08/1993	Barnea		
63.		5,249,581	10/1993	Horbal et al.		
64.		5,251,127	10/1993	Raab		
65.		5,295,483	03/22/1994	Nowacki et al.		
66.		5,305,203	04/1994	Raab		
67.		5,309,913	05/10/1994	Kormos et al.		
68.		5,320,111	06/1994	Livingston		
69.		5,333,168	07/1994	Fernandes et al.		
70.		5,368,030	11/29/1994	Zinreich et al.		·
71.		5,383,454	01/24/1995	Bucholz		
72.		5,383,454	01/1995	Bucholz		,
73.		5,389,101	02/1995	Heilbrun et al.		
74.		5,399,146	03/21/1995	Nowacki et al.		
75.		5,400,384	03/1995	Fernandes et al.		
76.		5,402,801	04/04/1995	Taylor		
77.		5,408,409	04/1995	Glassman et al.		
78.		5,426,683	06/1995	O'Farrell, Jr., et al.		
79.		5,426,687	06/1995	Goodall et al.		•
80.		5,427,097	06/1995	Depp		
81.		5,444,756	08/1995	Pai et al.		

Examiner:	Date Considered:

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# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

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February 28, 2002	3737 SEP 0 9 2002

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Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
82.		5,446,548	08/1995	Gerig et al.		
83.		5,447,154	09/05/1995	Cinquin et al.		
84.		5,448,610	09/1995	Yamamoto et al.		
85.		5,478,341	12/1995	Cook et al.		
86.		5,478,343	12/1995	Ritter		
87.		5,483,961	01/16/1996	Kelly et al.		
88.		5,490,196	02/06/1996	Rudich et al.		
89.		5,494,034	02/27/1996	Schlondorff et al.		
90.		5,494,034	02/1996	Schlondorff et al.		
91.		5,503,416	04/1996	Aoki et al.		
92.		5,515,160	05/07/1996	Schulz et al.		
93.		5,517,990	05/21/1996	Kalfas et al.		_
94.		5,531,227	07/02/1996	Schneider		
95.		5,531,520	07/02/1996	Grimson et al.		
96.		5,568,809	10/29/1996	Ben-haim		
97.		5,572,999	11/12/1996	Funda et al.		
98.		5,583,909	12/1996	Hanover		
99.		5,588,430	12/31/1996	Bova et al.		
100.		5,596,228	01/1997	Anderton et al.		
101.		5,603,318	02/1997	Heilbrun et al.		

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## PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

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Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
102.		5,617,462	04/1997	Spratt		
103.		5,617,857	04/08/1997	Chader et al.		
104.		5,619,261	04/1997	Anderton		
105.		5,622,170	04/22/1997	Schulz		
106.		5,627,873	05/1997	Hanover et al.		
107.		5,630,431	05/20/1997	Taylor		
108.		5,638,819	06/17/1997	Manwaring et al.		
109.		5,642,395	06/1997	Anderton et al.		
110.		5,647,361	07/15/1997	Damadian		
111.		5,662,111	09/02/1997	Cosman		
112.		5,664,001	09/1997	Tachibana et al.		
113.		5,676,673	10/14/1997	Ferre et al.		
114.		5,682,886	11/04/1997	Delp et al.		
115.		5,690,108	11/1997	Chakeres		
116.		5,695,500	12/09/1997	Taylor et al.		
117.		5,695,501	12/09/1997	Carol et al.		
118.		5,711,299	01/27/1998	Manwaring et al.		
119.		5,715,836	02/10/1998	Kliegis et al.		
120.		5,748,767	05/1998	Raab		
121.		5,749,362	05/12/1998	Funda et al.		

Examiner:	Date Considered:	

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### PATENT AND TRADEMARK OFFICE **INFORMATION DISCLOSURE CITATION**

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Sheet 7 of 14

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Ref.	Examiner's	Document		·	Class/	(If appropriate)
Desig.	Initials	Number	Date	Name	Subclass	Filing Date
122.		5,755,725	05/26/1998	Druais		
123.		5,769,789	06/23/1998	Wang et al.		
124.		5,769,861	06/1998	Vilsmeier		
125.		5,772,594	06/1998	Barrick		
126.		5,795,294	08/18/1998	Luber et al.		
127.		5,799,055	08/1998	Peshkin et al.		
128.		5,800,535	09/01/1998	Howard, III		
129.		5,802,719	09/1998	O'Farrell, Jr., et al.		
130.		5,823,192	10/1998	Kalend et al.		
131.		5,823,958	10/20/1998	Truppe		
132.		5,828,725	10/27/1998	Levinson		
133.		5,828,725	10/27/1998	Levinson		
134.		5,833,608	11/10/1998	Acker		
135.		5,834,759	11/10/1998	Glossop		
136.		5,836,954	11/1998	Heilbrun et al.		
137.		5,848,967	12/15/1998	Cosman		
138.		5,851,183	12/1998	Bucholz		
139.		5,868,675	02/09/1999	Henrion et al.		
140.	,	5,871,445	02/1999	Bucholz		
141.		5,871,487	02/16/1999	Warner et al.		

Examiner:	Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 8 of 14

ATTORNEY DOCKET NO.	SERIAL NO.	
5074A-000057	10/087,288	
APPLICANT		
David A. Simon	,	J
FILING DATE	GROUP 5 - 2002	
February 28, 2002	3737	33700
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U.S. F	U.S. PATENT DOCUMENTS					
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
142.		5,891,034	04/1999	Bucholz		
143.		5,891,157	04/06/1999	Day et al.		
144.		5,904,691	05/18/1999	Barnett et al.		
145.		5,920,395	07/06/1999	Schulz		
146.		5,921,992	07/13/1999	Costales et al.		
147.		5,923,727	07/1999	Navab		
148.		5,951,475	09/14/1999	Gueziec et al.		
149.		6,016,439	01/2000	Acker		
150.		6,050,724	04/2000	Schmitz et al.		
151.		6,139,183	10/2000	Graumann		
152.		6,149,592	11/2000	Yanof et al.		
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